

RECEIVED
CENTRAL FAX CENTER PAGE 05
DEC 19 2006

PTO/88/088 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1996, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/724,744
		Filing Date	11/28/00
		First Named Inventor	JOHN T. PIENKOS
		Art Unit	3625
		Examiner Name	JAMES ZURITA
Sheet 1 of 1	Attorney Docket Number		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JT	—	Letter From Joseph Lee Re Patent Services, Acacia Technologies Group, November 17, 2006, 2 pages.	
	—	web pages of Inflexion Point Strategy, obtained at www.ip-strategy.com/services.html , printed on 12/15/2006, 4 pages.	
	—	web pages of Altitude Capital Partners, obtained at www.altitudecp.com/value.html , printed on 12/15/2006, 5 pages.	
	—	"6E Real Estate Creates Strategic Capital Group", December 17, 2003, concerning 6E Strategic Capital Group, 4 pages.	
	—	"Brief of Altitude Capital Partners, Expense Networks, Inc., Inflexion Point Strategy, LLC, Interdigital Communications Corp., IPotential, LLC, Ocean Tomo, LLC and OnSpec Electronic Inc. as Amici Curiae in Support of Respondents"	
October, 2006, cover sheet on pp. 1-4.			
Examiner Signature	James Zurita		Date Considered 6/18/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. The collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

JOHN RECEIVED
CENTRAL FAX CENTER

PAGE 48

1/22/01

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number 09/729,747	
Filed Date 11/28/2000		Priority Number P15NLOS	
Group A2 Unit		Examiner Name	
Agency Serial Number		Agency Serial Number	

Examiner	Ref	Description
JZ	1	Copy of home page of The Patent & License Exchange, Inc., found at www.pl-x.com , dated 12/26/2000
	2	Copy of home page of the Patent Cafe, found at www.patentcafe.com , dated 12/26/2000
	3	Copy of home page of yet2.com, found at www.yet2.com , dated 12/26/2000
	4	Copy of home page of DaVinci-Online, found at www.davinci-online.com , dated 12/26/2000
	5	Copy of home page of the Hook Appropriate Technology, found at www.thehooktek.com , dated 12/26/2000
	6	Copy of home page of TTE/Newfrontiers, found at www.newfrontiers.com , dated 12/27/2000
	7	Copy of home page of the Delphion Intellectual Property Network (formerly the IBM Intellectual Property Network), found at www.delphion.com , dated 12/26/2000
	8	Copy of home page of the U.S. Patent and Trademark Office, found at www.uspto.gov , dated 12/26/2000
	9	Copy of home page of TechExchange Online, found at www.teonline.com , dated 1/12/2001
	10	Copy of home page and additional web pages of IPNetwork.com, found at www.ipnetwork.com , dated 1/12/2001
	11	"Using the Internet For Technology Transfer and Licensing", conference sponsored by Licensing Executives Society, Crystal City, VA, April 15-16, 1998

Examiner	James J. Jurek	Date	6/18/07
----------	----------------	------	---------

DEC 19 2006

2/20/07

U.S. Patent and Trademark Office
 U.S. DEPARTMENT OF COMMERCE
 Application Number: 1001/2222 Date Filed: 08/15/2008
 Inventor: [Name] Applicant: [Name]
 Attorney/Agent Name: [Name] Attorney/Agent Number: [Number]

INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT

1 2 3

[illegible]

Executive Signature	<i>James L. White</i>	Date Completed	6/18/07
------------------------	-----------------------	-------------------	---------

DECLASSIFIED: This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

¹ Under certain circumstances, ² Another is to place a direct mark over the English language. Translating is called

Under New Contract: This item is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are requested to complete this item should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND PTO or CONTACT PTO to this address. E-MAIL to: Assistant Commissioner for Patent, Washington, DC 20231.

RECEIVED
CENTRAL FAX CENTER

DEC 19 2006

7/29/01

Please type a plus sign (+) inside this box → ☒

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number 09/724,744
 Filing Date 11/28/2000
 First named inventor TIEH KUN
 Group Art Unit
 Examiner Name
 Attorney Docket Number

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issues number(s), publisher, city and/or country where published.	TI
√2	1	Copies of web pages of LPS Group, found at www.informationholdings.com/lps/, dated 7/25/01	
	2	Copies of web pages of Information Holdings Inc., found at www.corporateintelligence.com, dated 7/25/01	
	3	Copy of web page of MicroPatent, found at www.micropat.com/, dated 7/25/01	
	4	Copy of web page of PATEX, found at www.patex.com, dated 7/25/01	
	5	Copy of web pages of Patent Bank, found at www.patentbank.co.kr, dated 7/25/01	
	6	Harvard Business School Case Draft N9-600-150, "The Patent & License Exchange: Enabling a Global IP Marketplace", dated July 1, 2000	
√	7	"From Labs to Riches", Peter J. Crank, The Legal Intelligencer, March 24, 1997	

Examiner Signature

James Zante

Date Considered

6/18/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with most communication to applicant.


* Unique citation designation number. * Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
CENTRAL FAX CENTER

7/3/01 (1 of 2)

DEC 19 2005

Please type or print sign (+) inside this box → 

Approved for use through 10/31/2002. CMB 0831-0031
U. S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Privacy Protection Act of 1974, no person is required to respond to a request for information unless it contains a valid OAG control number.

Substitution for form 1442A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known Application Number <u>02/724,744</u> Filing Date <u>11/18/00</u> First Named Inventor <u>PIANKOS</u> Group Art Unit Examiner Name Attorney/Agent Number	
Sheet	<u>1</u>	of	<u>2</u>

Examiner Initials	Cite No.	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS <small>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the book (when appropriate), journal, title, organization, editor, etc., date, page(s), volume (when appropriate), publisher, city and country where published.</small>	T ¹
✓	1	Brunsvold, Brian et al., Drafting Patent License Agreements, 4th ed. (1998), exemplary sections including Table of Contents (pp. v - xi), Chapters 1-3 (pp. 1 - 40) and Chapter 10 (pp. 91-116)	
	2	Copies of web pages of Ernest E Young, found at <u>www.eyo.com</u> , dated 9/12/01	
	3	Copies of web pages of Inta Corp, Inc., found at <u>www.intacorp.com</u> , dated 9/12/01	
	4	"Getting More Revenue for Your Clients From Their Intellectual Property", presentation by Ted <u>Milner</u> , Alliance Technology Service, Inc., 1998-99, including article "One Man Band", <u>The Business Journal</u> , 5/19/98	
	5	"Companies Cash in on 'Discarded' Patents", Technology Business, November/December 1997 (author Nancy <u>Cristi Rober</u>), reprinted by <u>Alliance Technology Service, Inc.</u>	
	6	"U.S. Companies Ignore More Than \$115 Billion in Technology Assets, Survey Finds", press release by <u>BTG</u> , June 22, 1998, reprinted by <u>Alliance Technology Service, Inc.</u>	
✓	7	"Japan Wants to 'Wake Up' Unused Patents", found at <u>www.wired.com</u> , dated 9/13/01 (author Gene <u>Koprowski</u> , article date 3/12/97)	

Examiner Signature	<u>James Zunta</u>	Date Considered	<u>6/18/07</u>
--------------------	--------------------	-----------------	----------------

KEY WORDS: Include if substance is material, whether or not addition to the knowledge of the public. Please also check whether it and its substance are new and considered. Include copy of this form with your communication to applicant.

¹ Unless other designation number. ² Applicable to the person who made the English language translation to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
CENTRAL FILE CENTER
DEC 19 2005

9/13/01 (282)

Please type a plus sign (+) inside this box → 

Approved for use through 10/31/2002. OMB 0351-0001
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it carries a valid OMB control number.

Substitute for form 1442/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/724,744
		Filing Date	11/19/00
		First Named Inventor	PIENKOS
		Group Art Unit	
		Examiner Name	
Sheet 2 of 2	Attorney Contact Number		

Examiner Initials	Doc No.	OTHER PRIOR ART - RUN PATENT LITERATURE SOURCES <small>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, card, symposium, exhibit, etc.), date, page(s), volume(s) number(s), publisher, city and/or country where published.</small>	T
JF	8	Additional copies of web pages of LPS Group, found at www.informationholding.com , dated 9/13/01, "Market Trends"	
	9	Copies of web pages of mycounsel.com , found at www.mycounsel.com , dated 9/13/01, "Requirements and Considerations when Licensing Your Patent"	
	10	Copies of web pages of Woodbridge & Associates, P.C., found at www.njiplaw.com , dated 9/13/01, "Basic Terms and Conditions of a Strong Patent License"	
	11	Additional copies of web pages of Patent Cafe Magazine / Patent Cafe, found at www.cafemag.com , dated 9/13/01, "How to Determine Royalty Rates"	
	12	Copies of web pages of FindLaw, found at technole.biz , findlaw.com , dated 9/13/01, Patent License Agreement (exemplary)	
	13	Copies of web pages of www.lawsmart.com , dated 9/13/01, "Exclusive Patent License Agreement"	

Examiner Signature	James J. Munte	Date Considered	6/18/07
--------------------	----------------	-----------------	---------

*EXAMPLE: - voids if reference identified, whether or not citation is to be considered with USPTO 808. Draw line through citation if not in consideration and not considered. Include copy of this form with final communication to applicant.

1 Unique citation designation number. 2 Applicable to be placed a check mark here if English language Translation is attached.

Budget Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DEC 19 2006

7/14/02

Please type a plus sign (+) inside this box → ☒

PTO/SB/088 (03-00)

Approved for use through 10/31/2002. OMB 0681-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/724,744
		Filing Date	11/28/2000
		First Named Inventor	PIENKOS
		Group Art Unit	
		Examiner Name	
Sheet	of	Attorney Docket Number	

Examiner Initials	Cite No.	OTHER SOURCE ART NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹	
JZ	1	Copy of article "Mine Games" by Eric. W. Pfeiffer, dated 6/24/02, obtained at www.forbes.com /asop, printed 6/24/02, 3 pages		
	2	Copy of home page and additional web pages, and including press releases, concerning ipvalue Management Inc., obtained at www.ipvalue.com, printed 6/24/02, 32 pages		
	3	Copy of site listings from Yahoo! Commercial directory concerning patent services, obtained at dir.yahoo.com, printed 6/29/02, 3 pages		
	4	Copy of home page and additional web pages concerning TechSearch LLC, obtained at www.techsearch-llc.com, printed 6/29/02, 12 pages		
	5	"Patent Fight Pending," originally published by SF Weekly on Dec. 6, 2000, by Bernice Young, obtained at www.sfweekly.com, printed on 6/27/02, 1 pages		
	6	"Intel Hit With \$833 Million Patent Lawsuit," by Alexander Wolfe, EE Times, obtained at www.itnews.com.au, printed 6/29/02, 3 pages		
	7	"Would You Buy a Patent License From This Man?" by Ian Mount, obtained at www.business2.com, printed 6/29/02, 4 pages		
Examiner Signature	James Zunk		Date Considered	6/18/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. It is recommended that the examiner indicate the reason for non-compliance in the Remarks section.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Garden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
CENTRAL FAX CENTER
DEC 19 2006 6/28/03

PTO/SB/086(05-05)

Approved for use through 05/31/2003. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known Application Number <u>09/724,744</u> Filing Date <u>11/28/00</u> First Named Inventor <u>JOHN T. PIENKOS</u> Art Unit <u>2100</u> Examiner Name <u>---</u>	
Sheet	<u>1</u>	of	<u>1</u>
		Attorney Docket Number	<u>---</u>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city <small>omit the city when not applicable</small>	†
✓		-pages from website of Miramonte Technologies, obtained at <u>www.miramonte-technologies.com</u> , printed on <u>11/16/02</u> , <u>6</u> pages.	
		-pages from website of divine Company, obtained at <u>www.divine.com</u> (specifically <u>www.2divine.com</u> and <u>2dnet.com.com</u>), printed on <u>11/16/02</u> , <u>11</u> pages.	
		-pages from website of Fairfield Resources International, Inc., obtained at <u>www.frlicense.com</u> , printed on <u>5/6/03</u> , <u>13</u> pages.	
✓		-pages from website of 2XFR Intellectual Property Licensing and Tech-XFR Marketplace, obtained at <u>www.2xfr.com</u> , printed on <u>5/24/03</u> , <u>25</u> pages.	

Examiner Initials	<u>James Zunte</u>	Date Printed/Marked	<u>6/18/07</u>
----------------------	--------------------	------------------------	----------------

1. **WARNING:** Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
 2. Applicant's unique citation designation number (optional). 3. Applicant is to place a check mark here if English language Translation is attached.
 4. Collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including writing, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the part of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1458, Alexandria, VA 22313-1458. FOR FURTHER INFORMATION OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1458, Alexandria, VA 22313-1458.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED
CENTRAL FAX CENTER
DEC 19 2006

PAGE 58
10/27/03

PTO/SB/08b (06-03)

Approved for use through 06/30/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/724,744
		Filing Date	11/28/00
		First Named Inventor	PIENKOS
		Art Unit	3625
		Examiner Name	NGUYEN, CUONG H.
Sheet 1 of 1	Attorney Doctet Number	—	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JZ		Printout of web pages of website of maxiam LLC, obtained at www.maxiam.com, printed on 9/30/03, 15 pages.	
		Letter/Opinion of Department of Justice Antitrust Division concerning MPEG LA, Joel I. Klein, Acting Assistant Attorney General, dated June 26, 1997, 15 page printout obtained at www.usdoj.gov	
Examiner Signature	James Lunte		Date Considered 6/18/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

†Applicant's unique citation designation number (optional). ‡Applicant is to place a check mark here if English language Translation is attached.
§The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the
¶USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete,
including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments
on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent
and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS
ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JOHN **RECEIVED**
CENTRAL FAX CENTER

3/6/04

DEC 19 2006

PTO/SB/088 (08-03)

Approved for use through 07/31/2003. OMB 0581-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete If Known	
	Application Number	09/724,744
	Filing Date	November 28, 2000
	First Named Inventor	Pienkos, John T.
	Art Unit	3625
	Examiner Name	Nguyen, C.
Sheet 1 of 1	Attorney/Agent/Inventor	—

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published	P ²
✓		"PLX Announces Plans to Revolutionize \$3.5 Billion Patent Industry Through Global, Internet-Based Patent Auction Market", press release dated January 22, 1999, obtained at < http://www.eurekaalert.org/pub-releases/1999-01/FI-PAPT-220199.ppt >, printed on 3/6/04, 2 pages.	

Examiner Signature	Date Considered
James Zurite	6/18/07

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

APPLICANT: Include unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

USPTO: Collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including writing, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the unit of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-768-9199) and select option 2.

JOHN RECEIVED
CENTRAL FAX CENTER
DEC 19 2006

PAGE 58
5/3/05

PTO/8222b (02-03)

Approved for use through 03/31/2002. OGC 0281-0091
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it carries a valid OGC control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use on every sheet in sequence)

Sheet 1 of 1

Application Number	09/724,744
Filing Date	11/28/00
First Named Inventor	PIENKOS, JOHN T.
Art Unit	3625
Examiner Name	NGUYEN, CUONG H.
Attorney Doctel Number	

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Doc No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the book, magazine, journal, serial, symposium, etc., date, page(s), volume-issue number(s), publisher, city and/or country where published.	P
JZ	1	Documentation of Patent & License Exchange, 1999, 31 pages, including "The Patent & License Exchange FAQ JAGOP", "PL-X.COM SUBSCRIBER QUALIFICATIONS", "PL-X.COM SUBSCRIBER FEES AND SELLER'S COMMISSIONS", "PLX SUBSCRIBER APPLICATION FORM", & PLX INFORMATIONAL BROCHURE.	
	2	"HOUSE RULES" OF THE PATENT & LICENSE EXCHANGE, 8/25/00, 4 pages.	
	3	"AUTOMATIC PATENT VALIDITY INSURANCE", North American Specialty Insurance Co., 1999, 1 page.	
	4	"Are Patents Next for the Online Auction Block?", The Recorder, 5/20/99, 1 page.	
	5	Company Press Release, pl-x.com, 8/23/99, 2 pages.	
	6	"Creating an efficient technology market", Kossowsky et al., Chemtech, Dec. 1998, 3 pages.	
	7	Press Release of Swiss Re & Ernst & Young, 4/22/99 &	
	8	"An Internet 'Exchange' Aims to Accelerate Transfer of Intellectual Property", Eureka Media, 8/23/99, 2 pages.	
Examiner Signature	James Hunt		Date Contacted 6/18/07

* For USPTO: Initial if reference is made, whether or not citation is in conformity with MPEP 608. Draw this through citation if not in conformity and not made forced. Include copy of this form with next communication to applicant.
* For USPTO: Applicant's unique document designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached.
* For USPTO: Citation of information to be required by 37 CFR 1.67 and 1.68. The information is required to attach or attach a notice by the public which is to be (and by the USPTO to process) on application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This citation is connected to the 2 hours to complete.
* For USPTO: Drawing, photograph, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form either suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1469, Alexandria, VA 22313-1469. USPTO forms may be purchased from the USPTO forms store.
* For USPTO: Send to: Commissioner for Patents, P.O. Box 1469, Alexandria, VA 22313-1469.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

DEC 19 2006

PAGE 62

9/7/06

PTO/SB/08b (07-06)

Approved for use through 08/30/2008. OMB 0851-0051
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				Complete If Known Application Number <u>09/727,744</u> Filing Date <u>11/28/00</u> First Named Inventor <u>JOHN T. PIENKOS</u> Art Unit <u>3825</u> Examiner Name <u>JAMES ZURITA</u> Attorney/Doctel Number <u>—</u>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					
Sheet	1	of	1		

[illegible]

1. **AMBER:** Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not cited. Include copy of this form with next communication to applicant.

2. Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

3. Collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete.

4. Filing gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, 119 Constitution Avenue, N.W. 4th Floor, Alexandria, VA 22312-1490. DO NOT SEND PAPER OR COMPLETED FORMS TO THIS OFFICE.

5. **DRESS. SEND TO: Commissioner for Patents, P.O. Box 1465, Alexandria, VA 22313-1465.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED
CENTRAL FAX CENTER
DEC 19 2006**PATENT**

I hereby certify that this correspondence is being faxed to Examiner James Zurita at the U.S. Patent and Trademark Office at (571) 273-8300 on the following date: 9 18 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pienkos, John T.
Serial No.: 09/724,744
Filed: November 28, 2000
For: METHOD AND SYSTEM FOR FACILITATING THE TRANSFER OF
INTELLECTUAL PROPERTY
Grp. Art Unit: 3625

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant recently received the enclosed documents and, although the Applicant suspects that the information in the documents are substantially duplicative of information previously submitted to the Patent Office in connection with the present Application, the Applicant in the interests of meeting the duty of disclosure nevertheless is submitting the documents herewith.

In particular, the enclosed documents are being submitted in the above-identified Application in compliance with 37 CFR 1.97(b), 1.98:

- ☐ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☒ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)
- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)